

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Massimiliano Antonio Poletto et al. Art Unit : 2132  
Serial No. : 09/931,558 Examiner : Minh Dinh  
Filed : August 16, 2001  
Title : MONITORING NETWORK TRAFFIC DENIAL OF SERVICE ATTACKS

**MAIL STOP RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: 7/26/05

Denis G. Maloney  
Denis G. Maloney  
Reg. No. 29,670

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

21124563.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

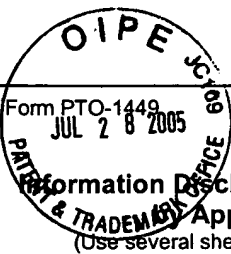
July 26, 2005  
Date of Deposit  
Maie Collins  
Signature  
Maie Collins  
Typed or Printed Name of Person Signing Certificate



Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>12221-009001</b>	Application No. <b>09/931,558</b>
	Applicant <b>Massimiliano Antonio Poletto et al.</b>		
	Filing Date <b>August 16, 2001</b>	Group Art Unit <b>2132</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,796,956	08-1998	Jones			
	AB	6,061,341	05-2000	Andersson et al.			
	AC	6,061,789	05-2000	Hauser et al.			
	AD	6,108,782	08-2000	Fletcher et al.			
	AE	6,279,113	08-2001	Vaidya			
	AF	6,321,338	11-2001	Porras et al.			
	AG	6,353,385	03-2002	Molini et al.			
	AH	6,370,116	04-2002	Giroux et al.			
	AI	6,388,992	05-2002	Aubert et al.			
	AJ	6,389,448	05-2002	Primak et al.			
	AK	6,442,694	08-2002	Bergmen et al.			
	AL	6,535,484	03-2003	Hughes et al.			
	AM	6,597,661	07-2003	Bonn			
	AN	6,597,957	07-2003	Beakley			
	AO	6,678,827	01-2004	Rothermel et al.			
	AP	6,691,213	02-2004	Luu et al.			
	AQ	6,725,378	04-2004	Schuba et al.			
	AR	6,738,814	05-2004	Cox et al.			
	AS	6,775,657	08-2004	Baker			
	AT	6,789,203	09-2004	Belissent			
	AU	6,807,667	10-2004	Bar et al.			
	AV	6,816,910	11-2004	Ricciulli			
	AW	6,848,005	01-2005	Plevyak et al.			
	AX	US2002/0031134	03-2002	Poletto et al.			
	AY	US2002/0035628	03-2002	Gil et al.			
	AZ	US2002/0095492	07-2002	Kaashoek et al.			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Substitute Form PTO-1449 (Modified) JUL 2 8 2005 <b>Information Disclosure Statement</b> Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-009001	Application No. 09/931,558
	Applicant Massimiliano Antonio Poletto et al.		
	Filing Date August 16, 2001	Group Art Unit 2132	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AAA	US2002/0103916	08-2002	Chen et al.			
	ABB	6,304,262	10-2001	Maloney et al.			
	ACC	6,301,668	10-2001	Gleichen et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	ADD							
	AEE							
	AFF							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AGG	Communications News, June 2000, 37, 6, 48.
	AHH	McFadden, October 25, 2000, Ent, 5, 17, 22.
	AII	Greene, February 16, 1998, pg. 20
	AJJ	Johnson, November 27, 2000, Network World.
	AKK	Martin, August 14, 2000, Network World, pg. 86.
	ALL	Snyder, July 19, 1999, Network World, pg. 53.
	AMM	Mansfield et al., "Towards trapping wily intruders in the large", RAID 1999, Sep. 99, pp. 1-13.
	ANN	Stallings, William, "Cryptography and Network Security", <i>Principles and Practice</i> , 2 <sup>nd</sup> Edition, Intruders and Viruses, Chapter 15, pp. 478-501.
	AOO	Roesch, Martin, "Snort - Lightweight Intrusion Detection for Networks", Proceedings of LISA XIII '99: 13 <sup>th</sup> Systems Administration Conference, Nov. 7-12, 1999, pp. 229-238.
	APP	Ohta et al., "Detection, Defense, and Tracking of Internet-Wide Illegal Access in a Distributed Manner", Internet Society, July 18-21, 2000, Retrieved from the Internet on 10/27/04: <URL: <a href="http://www.isoc.org/inet2000/cdproceedings/lf/lf_2.htm">http://www.isoc.org/inet2000/cdproceedings/lf/lf_2.htm</a> >
	AQQ	
	ARR	
	ASS	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	